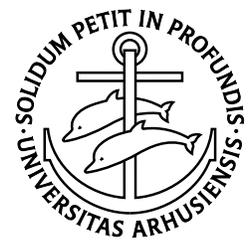


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IN POSITIVE CHARACTERISTIC

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# LOCAL COHOMOLOGY AND $\mathcal{D}$ -AFFINITY IN POSITIVE CHARACTERISTIC

MASAKI KASHIWARA AND NIELS LAURITZEN

## 1. INTRODUCTION

Let  $k$  be a field. Consider the polynomial ring

$$R = k \begin{bmatrix} X_{11} & X_{12} & X_{13} \\ X_{21} & X_{22} & X_{23} \end{bmatrix}$$

and  $I \subset R$  the ideal generated by the three  $2 \times 2$  minors

$$f_1 := \begin{vmatrix} X_{12} & X_{13} \\ X_{22} & X_{23} \end{vmatrix}, \quad f_2 := \begin{vmatrix} X_{13} & X_{11} \\ X_{23} & X_{21} \end{vmatrix} \quad \text{and} \quad f_3 := \begin{vmatrix} X_{11} & X_{12} \\ X_{21} & X_{22} \end{vmatrix}.$$

If  $k$  is a field of positive characteristic, the local cohomology modules  $H_I^j(R)$  vanish for  $j > 2$  (see Chapitre III, Proposition (4.1) in [2]). However, if  $k$  is a field of characteristic zero,  $H_I^3(R)$  is non-vanishing (see Proposition 2.1 of this paper or Remark 3.13 in [4]).

Consider the Grassmann variety  $X = \text{Gr}(2, V)$  of 2-dimensional vector subspaces of a 5-dimensional vector space  $V$  over  $k$ . Let us take a two dimensional subspace  $W$  of  $V$ . Then the singularity  $R/I$  appears in the Schubert variety  $Y \subset X$  of 2-dimensional subspaces  $E$  such that  $\dim(E \cap W) \geq 1$ . Therefore  $\mathcal{H}_Y^3(\mathcal{O}_X)$  does not vanish in characteristic zero while it does vanish in positive characteristic.

In this paper we show how this difference in the vanishing of local cohomology translates into a non-vanishing first cohomology group for the  $\mathcal{D}_X$ -module  $\mathcal{H}_Y^2(\mathcal{O}_X)$  in positive characteristic.

Previous work of Haastert ([3]) showed the Beilinson-Bernstein equivalence ([1]) to hold for projective spaces and the flag manifold of  $SL_3$  in positive characteristic. However, as we show in this paper,  $\mathcal{D}$ -affinity breaks down for the flag manifold of  $SL_5$  in all positive characteristics. The Beilinson-Bernstein equivalence, therefore, does not carry over to flag manifolds in positive characteristic.

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## 2. LOCAL COHOMOLOGY

Keep the notation from §1. A topological proof of the following proposition is given in §5.

**Proposition 2.1.**  $H_Y^3(R)$  does not vanish in characteristic zero.

**Corollary 2.2.**  $\mathcal{H}_Y^3(\mathcal{O}_X)$  does not vanish in characteristic zero.

The local to global spectral sequence

$$H^p(X, \mathcal{H}_Y^q(\mathcal{O}_X)) \Rightarrow H_Y^{p+q}(X, \mathcal{O}_X)$$

and  $\mathcal{D}$ -affinity in characteristic zero ([1]) implies

$$H_Y^3(X, \mathcal{O}_X) = \Gamma(X, \mathcal{H}_Y^3(\mathcal{O}_X)) \neq 0.$$

On the other hand, if  $k$  is a field of positive characteristic,  $\mathcal{H}_Y^q(\mathcal{O}_X) = 0$  if  $q \neq 2$ , since  $Y$  is a codimension two Cohen Macaulay subvariety of the smooth variety  $X$  ([2], Chapitre III, Proposition (4.1)). This gives a totally different degeneration of the local to global spectral sequence. In the positive characteristic case we get

$$H^p(X, \mathcal{H}_Y^2(\mathcal{O}_X)) \cong H_Y^{p+2}(X, \mathcal{O}_X).$$

We will prove that  $H_Y^3(X, \mathcal{O}_X) \neq 0$  even if  $k$  is a field of positive characteristic. This will give the desired non-vanishing

$$H^1(X, \mathcal{H}_Y^2(\mathcal{O}_X)) \neq 0$$

in positive characteristic.

3. LIFTING TO  $\mathbb{Z}$ 

To deduce the non-vanishing of  $H_Y^3(X, \mathcal{O}_X)$  in positive characteristic, we need to compute the local cohomology over  $\mathbb{Z}$  and proceed by base change. Flag manifolds and their Schubert varieties admit flat lifts to  $\mathbb{Z}$ -schemes. In this section  $X_{\mathbb{Z}}$  and  $Y_{\mathbb{Z}}$  will denote flat lifts of a flag manifold  $X$  and a Schubert variety  $Y \subset X$  respectively.

The local Grothendieck-Cousin complex (cf. [5], §8) of the structure sheaf  $\mathcal{O}_{X_{\mathbb{Z}}}$

$$(3.1) \quad \mathcal{H}_{X_0/X_1}^0(\mathcal{O}_{X_{\mathbb{Z}}}) \rightarrow \mathcal{H}_{X_1/X_2}^1(\mathcal{O}_{X_{\mathbb{Z}}}) \rightarrow \cdots,$$

where  $X_i$  denotes the union of Schubert schemes of codimension  $i$ , is a resolution of  $\mathcal{O}_{X_{\mathbb{Z}}}$ , since  $\mathcal{O}_{X_{\mathbb{Z}}}$  is Cohen Macaulay,  $\text{codim } X_i \geq i$  and  $X_i \setminus X_{i+1} \rightarrow X$  are affine morphisms for all  $i$  (see [5], Theorem 10.9). The sheaves in this resolution decompose into direct sums

$$\mathcal{H}_{X_i/X_{i+1}}^i(\mathcal{O}_{X_{\mathbb{Z}}}) = \bigoplus_{\text{codim}(C)=i} \mathcal{H}_C^i(\mathcal{O}_{X_{\mathbb{Z}}})$$

of local cohomology sheaves  $\mathcal{H}_C^i(\mathcal{O}_{X_{\mathbb{Z}}})$  with support in Bruhat cells  $C$  of codimension  $i$ . The degeneration of the local to global spectral sequence gives

$$\mathrm{H}_{Y_{\mathbb{Z}}}^p(X_{\mathbb{Z}}, \mathcal{H}_C^c(\mathcal{O}_{X_{\mathbb{Z}}})) = \mathrm{H}_{C \cap Y_{\mathbb{Z}}}^{p+c}(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}}),$$

since  $\mathcal{H}_C^i(\mathcal{O}_{X_{\mathbb{Z}}}) = 0$  if  $i \neq c = \mathrm{codim}(C)$ .

Since the scheme  $X_i \setminus X_{i+1}$  is affine it follows that  $\mathrm{H}_C^p(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}}) = 0$  if  $p \neq \mathrm{codim}(C)$  ([5], Theorem 10.9). This shows that the resolution (3.1) is acyclic for the functor  $\Gamma_{Y_{\mathbb{Z}}}$  and

$$\Gamma_{Y_{\mathbb{Z}}}(X_{\mathbb{Z}}, \mathcal{H}_C^c(\mathcal{O}_{X_{\mathbb{Z}}})) = \begin{cases} 0 & \text{if } C \not\subset Y_{\mathbb{Z}} \\ \mathrm{H}_C^c(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}}) & \text{if } C \subset Y_{\mathbb{Z}}, \end{cases}$$

where  $c = \mathrm{codim}(C)$ . Applying  $\Gamma_{Y_{\mathbb{Z}}}(X_{\mathbb{Z}}, -)$  to (3.1) we get the complex

$$M_Y^\bullet : \mathrm{H}_{C_Y}^c(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}}) \rightarrow \bigoplus_{\mathrm{codim}(C)=c+1, C \subset Y_{\mathbb{Z}}} \mathrm{H}_C^{c+1}(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}}) \rightarrow \cdots,$$

where  $c$  is the codimension of  $Y_{\mathbb{Z}}$ ,  $C_Y$  is the open Bruhat cell in  $Y_{\mathbb{Z}}$  and  $\mathrm{H}_{C_Y}^c(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}})$  sits in degree  $c$ . Notice that  $\mathrm{H}^i(M_Y^\bullet) = \mathrm{H}_{Y_{\mathbb{Z}}}^i(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}})$  and that  $M_Y^\bullet$  is a complex of free abelian groups. In fact the individual entries  $\mathrm{H}_C^i(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}})$  are direct sums of weight spaces, which are finitely generated free abelian groups (cf. [5], Theorem 13.4). By weight spaces we mean eigenspaces for a fixed  $\mathbb{Z}$ -split torus  $T$ . The differentials in  $M_Y^\bullet$  being  $T$ -equivariant, the complex  $M_Y^\bullet$  is a direct sum of complexes of finitely generated free abelian groups. Since  $\mathrm{H}^i(M_Y^\bullet) = \mathrm{H}_{Y_{\mathbb{Z}}}^i(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}})$ , one obtains the following lemma.

**Lemma 3.1.** *Every local cohomology group  $\mathrm{H}_{Y_{\mathbb{Z}}}^i(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}})$  is a direct sum of finitely generated abelian groups. In the codimension  $c$  of  $Y_{\mathbb{Z}}$  in  $X_{\mathbb{Z}}$ ,  $\mathrm{H}_{Y_{\mathbb{Z}}}^c(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}})$  is a free abelian group.*

#### 4. THE COUNTEREXAMPLE

For a field  $k$ , let us set  $X_k = X_{\mathbb{Z}} \otimes k$  and  $Y_k = Y_{\mathbb{Z}} \otimes k$ . Then one has  $\mathrm{H}_{Y_k}^q(X_k, \mathcal{O}_{X_k}) = \mathrm{H}_{Y_{\mathbb{Z}}}^q(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}} \otimes k)$ . Since  $\mathcal{O}_{X_{\mathbb{Z}}}$  is flat over  $\mathbb{Z}$ , one has a spectral sequence

$$\mathrm{Tor}_{-p}^{\mathbb{Z}}(\mathrm{H}_{Y_{\mathbb{Z}}}^q(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}}), k) \Rightarrow \mathrm{H}_{Y_k}^{p+q}(X_k, \mathcal{O}_{X_k}).$$

This shows that the natural homomorphism

$$\mathrm{H}_{Y_{\mathbb{Z}}}^i(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}}) \otimes k \longrightarrow \mathrm{H}_{Y_k}^i(X_k, \mathcal{O}_{X_k})$$

is an injection, and it is an isomorphism if the field  $k$  is flat over  $\mathbb{Z}$ .

In our example (cf. §1), one has

$$\mathrm{H}_{Y_{\mathbb{Z}}}^3(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}}) \otimes \mathbb{C} \cong \mathrm{H}_{Y_{\mathbb{C}}}^3(X_{\mathbb{C}}, \mathcal{O}_{X_{\mathbb{C}}}) \neq 0.$$

By Lemma 3.1, the cohomology  $\mathrm{H}_{Y_{\mathbb{Z}}}^3(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}})$  must contain  $\mathbb{Z}$  as a direct summand. Therefore the injection

$$\mathrm{H}_{Y_{\mathbb{Z}}}^3(X_{\mathbb{Z}}, \mathcal{O}_{X_{\mathbb{Z}}}) \otimes k \longrightarrow \mathrm{H}_{Y_k}^3(X_k, \mathcal{O}_{X_k})$$

shows that  $H_{Y_k}^3(X_k, \mathcal{O}_{X_k})$  is non-vanishing for any field  $k$  of positive characteristic. Since  $H_{Y_k}^3(X_k, \mathcal{O}_{X_k}) \cong H^1(X_k, \mathcal{H}_{Y_k}^2(\mathcal{O}_{X_k}))$ , one obtains the following result.

**Proposition 4.1.**  $H^1(X_k, \mathcal{H}_{Y_k}^2(\mathcal{O}_{X_k})) \neq 0$  if  $k$  is of positive characteristic.

## 5. PROOF OF NON-VANISHING OF $H_I^3(R)$

In this section, we shall give a topological proof of Proposition 2.1. We may assume that the base field is the complex number field  $\mathbb{C}$ .

The local cohomologies  $H_I^*(R)$  are the cohomology groups of the complex

$$\begin{aligned} R &\longrightarrow R[f_1^{-1}] \oplus R[f_2^{-1}] \oplus R[f_3^{-1}] \\ &\longrightarrow R[(f_1 f_2)^{-1}] \oplus R[(f_2 f_3)^{-1}] \oplus R[(f_1 f_3)^{-1}] \longrightarrow R[(f_1 f_2 f_3)^{-1}]. \end{aligned}$$

Hence one has

$$H_I^3(R) = \frac{R[(f_1 f_2 f_3)^{-1}]}{R[(f_1 f_2)^{-1}] + R[(f_2 f_3)^{-1}] + R[(f_1 f_3)^{-1}]}.$$

In order to prove the non-vanishing of  $H_I^3(R)$ , it is enough to show

$$(5.2) \quad \frac{1}{f_1 f_2 f_3} \notin R[(f_1 f_2)^{-1}] + R[(f_2 f_3)^{-1}] + R[(f_1 f_3)^{-1}].$$

Consider the 6-cycle

$$\begin{aligned} \gamma &= \left\{ \begin{pmatrix} -t_2 u + t_3 \bar{v} & u & -t_1 \bar{v} \\ -t_2 v - t_3 \bar{u} & v & t_1 \bar{u} \end{pmatrix} \right. \\ &\quad \left. ; |t_1| = |t_2| = |t_3| = 1, |u|^2 + |v|^2 = 1 \right\} \\ &= \left\{ k \begin{pmatrix} -t_2 & 1 & 0 \\ -t_3 & 0 & t_1 \end{pmatrix} \right. \\ &\quad \left. ; |t_1| = |t_2| = |t_3| = 1, k = \begin{pmatrix} u & -\bar{v} \\ v & \bar{u} \end{pmatrix} \in SU(2) \right\} \end{aligned}$$

in  $X \setminus (f_1 f_2 f_3)^{-1}(0)$ , where  $X = \text{Spec}(R) \cong \mathbb{C}^6$ . Then on  $\gamma$  one has

$$f_1 = t_1, f_2 = t_1 t_2 \text{ and } f_3 = t_3.$$

Set  $\omega = \bigwedge dX_{ij}$ . Then one has  $\omega = t_1 dt_1 dt_2 dt_3 \theta$  on  $\gamma$ , where  $\theta$  is a non-zero invariant form on  $SU(2)$ . Therefore one has

$$\int_{\gamma} \frac{\omega}{f_1 f_2 f_3} = \int_{\gamma} \frac{dt_1 dt_2 dt_3 \theta}{t_1 t_2 t_3} \neq 0.$$

Hence, in order to show (5.2), it is enough to prove that

$$(5.3) \quad \int_{\gamma} \varphi \omega = 0$$

for any  $\varphi \in R[(f_1 f_2)^{-1}] + R[(f_2 f_3)^{-1}] + R[(f_1 f_3)^{-1}]$ .

For  $\varphi \in R[(f_1 f_2)^{-1}]$ , the equation (5.3) holds because we can shrink the cycle  $\gamma$  by  $|t_3| = \lambda$  from  $\lambda = 1$  to  $\lambda = 0$ . For  $\varphi \in R[(f_1 f_3)^{-1}]$ , the equation (5.3) holds because we can shrink the cycle  $\gamma$  by  $|t_2| = \lambda$  from  $\lambda = 1$  to  $\lambda = 0$ .

Let us show (5.3) for  $\varphi \in R[(f_2 f_3)^{-1}]$ . Let us deform the cycle  $\gamma$  by

$$\gamma_\lambda = \left\{ k \begin{pmatrix} -(1-\lambda)t_2 & 1 & -\lambda t_1 t_2 t_3^{-1} \\ -t_3 & 0 & t_1 \end{pmatrix} ; |t_1| = |t_2| = |t_3| = 1, k \in SU(2) \right\}.$$

Note that the values of  $f_1$ ,  $f_2$  and  $f_3$  do not change under this deformation. Hence  $\gamma_\lambda$  is a cycle in  $X \setminus (f_1 f_2 f_3)^{-1}(0)$ . One has

$$\begin{aligned} \gamma_1 &= \left\{ k \begin{pmatrix} 0 & 1 & -t_1 t_2 t_3^{-1} \\ -t_3 & 0 & t_1 \end{pmatrix} ; |t_1| = |t_2| = |t_3| = 1, k \in SU(2) \right\} \\ &= \left\{ k \begin{pmatrix} 0 & 1 & -t_2 \\ -t_3 & 0 & t_1 \end{pmatrix} ; |t_1| = |t_2| = |t_3| = 1, k \in SU(2) \right\}. \end{aligned}$$

In the last coordinates of  $\gamma_1$ , one has  $f_2 = t_2 t_3$  and  $f_3 = t_3$ . Hence, for  $\varphi \in R[(f_2 f_3)^{-1}]$ ,

$$\int_\gamma \varphi \omega = \int_{\gamma_1} \varphi \omega$$

vanishes because we can shrink the cycle  $\gamma_1$  by  $|t_1| = \lambda$  from  $\lambda = 1$  to  $\lambda = 0$ .

*Remark.* Although we do not give a proof here,  $H_I^3(R)$  is isomorphic to  $H_J^6(R)$  as a D-module. Here  $J$  is the defining ideal of the origin.

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